

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DAC  
DIVISION CC 3

Applicant: Prasanna Adhikari

Title: SELF-HEALING TREE NETWORK

Docket No.: 1137U005

Filed: August 22, 2003

Examiner: Unknown

Serial No.: 10/647,070

Due Date: N/A

Group Art Unit: 2155

2004 OCT -7 PM 2:53

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

- ☒ A return postcard.
- ☒ Communication re: Change Entity Status (2 pgs.).
- ☒ Credit Card Payment Form (1 pg.).
- ☒ Charge credit card in the amount of \$658.00 to cover the deficiency.

RECEIVED

OCT 12 2004

OFFICE OF PETITIONS

Charles Rattner

12 Homewood Lane, Darien, CT 06820

By: Charles Rattner

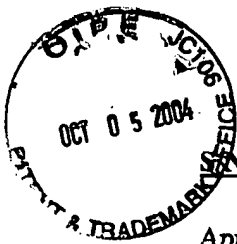
Charles Rattner  
Reg. No. 40,136

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this \_\_\_\_ day of September, 2004.

CHARLES RATTNER (PTO Reg. No. 40,136)  
Name

Charles Rattner  
Signature

(GENERAL)



PATENT DIVISION  
2004 OCT -7 PM 2:53

SEN 10/647,070

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Prasanna Adhikari      Examiner: Unknown  
Serial No.: 10/647,070      Group Art Unit: 2155  
Filed: August 22, 2003      Docket: 1137U005  
Title: SELF-HEALING TREE NETWORK

**COMMUNICATION RE: CHANGE OF ENTITY STATUS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**  
OCT 12 2004  
**OFFICE OF PETITIONS**

It has been brought to the attention of Applicant's Representatives that small entity status is no longer appropriate in the above-identified application, and in accordance with the duty set forth in accordance with 37 C.F.R. 1.27(g)(2) and 37 C.F.R. 1.28(c)., the entitlement to small entity status is withdrawn.

The following fees were paid:

Type of Fee	Small Entity Fee Paid	Date Small Entity Fee Paid	Current Fee amount for large entity	Deficiency Owed
Application filing Fee	\$385.00	January 20, 2004	\$770.00	\$385.00
Fee for 4 claims over 20	\$36.00	January 20, 2004	\$18.00 per claim	\$36.00
Fee for 4 independent claims over 3	\$172.00	January 20, 2004	\$86.00 per claim	\$172.00
Late Oath or Declaration Surcharge	\$65.00	January 20, 2004	\$130.00	\$65.00

**Total deficiency owed = \$658.00**

Charge credit card in the amount of \$658.00 to cover the base filing fee, additional claims and the late oath or declaration surcharge, the credit card payment form is enclosed to cover the shortage in fees previously paid.

COMMUNICATION RE: CHANGE OF ENTITY STATUS  
Serial Number: 10/647,070  
Filing Date: August 22, 2003  
Title: SELF-HEALING TREE NETWORK

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DIVISION

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It is respectfully requested that the Office records be changed to indicate the status of Applicant/Assignee as a large entity.

Respectfully submitted,

PRASANNA ADHIKARI

By his Representatives,

Charles Rattner  
12 Homewood Lane  
Darien, CT 06820  
(203) 662-9858

Date

9/24/04

By

Charles Rattner  
Reg. No. 40,136

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 24th day of September, 2004.

Name

CHARLES RATTNER (PTO REG NO 40,136)

Signature

Charles Rattner